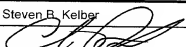


10/12/01

UTILITY PATENT APPLICATION TRANSMITTAL <small>For new nonprovisional applications under 37 CFR 1.53(j)</small>		Attorney Docket No. 8229-013-27
First Inventor or Application Identifier Sundar NARAYANAN		PTO 09/19/2001 10/12/01
Title	A NOVEL SELF MONITORING PROCESS FOR ULTRA THIN OXIDATION	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) <input type="checkbox"/> Applicant claims small entity status.		ACCOMPANYING DOCUMENTS 6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignment) <input type="checkbox"/> Power of Attorney 8. <input type="checkbox"/> English Translation Document (if applicable) 9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 10. <input type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 13. <input type="checkbox"/> Request for Priority 14. <input checked="" type="checkbox"/> List of Inventors' Names and Addresses 15. <input type="checkbox"/> Other:	
2. <input checked="" type="checkbox"/> Specification Total Pages 21 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 4 4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed) <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:			
17. Amend the specification by inserting before the first line the sentence: <input checked="" type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on <input checked="" type="checkbox"/> This application claims priority of provisional application Serial No. Filed			
18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085			

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	OCT. 12, 2001
Name	Christopher W. Raimund	Registration No.	47,258
		Telephone	202-861-3900

FEE TRANSMITTAL

Docket No. 8229-013-27
 Serial No. New Application
 Filing Date Herewith
 Inventor(s) Sundar NARAYANAN, et al.
 Group Art Unit
 Examiner

TOTAL AMOUNT OF PAYMENT \$906.00

1. ☐ Applicant claims small entity status.

☐ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	\$130.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description		139	130	139	130	Fee & Cover sheet
Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Paid	147	2520	147	2520
105	740	205	270	Utility filing fee	\$740.00	115	110	215	55	1-mo. ext. of time
106	330	206	160	Design filing fee		116	400	210	200	2-mo. ext. of time
107	510	207	255	Plant filing fee		117	920	211	450	3-mo. ext. of time
108	740	208	270	Reissue filing fee		118	1440	213	730	4-mo. ext. of time
114	160	214	80	Provisional filing fee		128	1950	228	960	5-mo. ext. of time
SUBTOTAL (1)					\$740.00	119	320	219	160	Notice of Appeal

DOCKET NO. 8229-013-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Sundar NARAYANAN, et al. ART UNIT:
SERIAL NO.: New Application EXAMINER:
FILING DATE: Herewith
FOR: A NOVEL SELF MONITORING PROCESS FOR ULTRA THIN GATE
OXIDATION

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

Sundar NARAYANAN
3423 Fowler Avenue
Santa Clara, CA 95051

Krishnaswamy RAMKUMAR
1193 Lynbrook Way
San Jose, CA 95129

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



Steven B. Kelber
Registration No. 30,073
Attorney of Record

1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
Facsimile No. (202) 223-2085

Christopher W. Raimund
Registration No. 47,258

007555 101004